

05/22/01  
J-1062 U.S. PTO

05-24-01

EXPRESS MAIL NUMBER

EL 563390779 US

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	AERX070DIV
First Inventor	Beste, et al.
Title	Excimer Laser Ablation Process Control of Multilaminate Materials
Express Mail Label No.	EL 563390779US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- |   |  |
|---|--|
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br><i>(Submit an original, and a duplicate for fee processing)</i>  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)  |
| 2. <input checked="" type="checkbox"/> Applicant claims small entity status.<br><i>See 37 CFR 1.27.</i>   | 8. Nucleotide and/or Amino Acid Sequence Submission<br><i>(if applicable, all necessary)</i>   |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 8]<br><i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> | a. <input type="checkbox"/> Computer Readable Form (CRF)   |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 1]  | b. <input type="checkbox"/> Specification Sequence Listing on: <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> |
| 5. Oath or Declaration [Total Pages 2] <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 18 completed)</i> <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/><i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</i></li> </ul> </li> </ul>   | c. <input type="checkbox"/> Statements verifying identity of above copies  |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   | 9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))  |
|   | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney<br><i>(when there is an assignee)</i>   |
|   | 11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>   |
|   | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |
|   | 13. <input type="checkbox"/> Preliminary Amendment   |
|   | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br><i>(Should be specifically itemized)</i>   |
|   | 15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br><i>(if foreign priority is claimed)</i>   |
|   | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.   |
|   | 17. <input type="checkbox"/> Other:  |

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

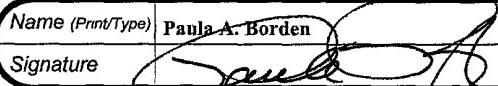
Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/353,125

Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	24353	or <input checked="" type="checkbox"/> Correspondence address below			
(Insert Customer No. or Attach bar code label here)					
Name	Paula A. Borden BOZICEVIC, FIELD & FRANCIS LLP				
Address	200 Middlefield Road, Suite 200				
City	Menlo Park	State	California	Zip Code	94025
Country	USA	Telephone	(650) 327-3400	Fax	(650) 327-3231

Name (Print/Type)	Paula A. Borden	Registration No. (Attorney/Agent)	42,344
Signature			Date May 22, 2001

Burden Hour Statement: This form is estimated to take 0-2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT \$355.00

## Complete if Known

Application Number	Unassigned
Filing Date	Herewith (May 22, 2001)
First Named Inventor	Beste et al.
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	AERX070DIV

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0815

Deposit Account Name BOZICEVIC, FIELD &amp; FRANCIS

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 Applicant claims small entity status. See 37 CFR § 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201	355 Utility filing fee	355.00
106 320	206	160 Design filing fee	
107 490	207	245 Plant filing fee	
108 710	208	355 Reissue filing fee	
114 150	214	75 Provisional filing fee	
SUBTOTAL (1)			\$355.00

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims 5	-20** = 0 X 18.00 = 0.00	
Independent Claims 3	-3** = 0 X 80.00 = 0.00	
Multiple Dependent		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		\$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205	65 Surcharge - late filing fee or oath	
127	50	227 25 Surcharge - late provisional filing fee or cover sheet	
139 130	139	130 Non - English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215	55 Extension for reply within first month	
116 390	216	195 Extension for reply within second month	
117 890	217	445 Extension for reply within third month	
118 1,390	218	695 Extension for reply within fourth month	
128 1,890	228	945 Extension for reply within fifth month	
119 310	219	155 Notice of Appeal	
120 310	220	155 Filing a brief in support of an appeal	
121 270	221	135 Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240	55 Petition to revive - unavoidable	
141 1,240	241	620 Petition to revive - unintentional	
142 1,240	242	620 Utility issue fee (or reissue)	
143 440	243	220 Design issue fee	
144 600	244	300 Plant issue fee	
122 130	122	130 Petitions to the Commissioner	
123 50	123	50 Processing fee under 37 CFR § 1.17(q)	
126 180	126	180 Submission of Information Disclosure Statement	
581 40	581	40 Recording each patent assignment per property (times number of properties)	
146 710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	
179 710	279	355 Request for Continued Examination (RCE)	
169 900	169	900 Request for expedited examination of a design application	
Other fee (specify) _____			

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \_\_\_\_\_

## SUBMITTED BY

Name (Print/Type)	Paula A. Borden	Registration No. (Attorney/Agent)	42,344	Telephone	(650) 327-3400
Signature			Date	May 22, 2001	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

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# CHANGE OF CORRESPONDENCE ADDRESS

*Application*

Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Application Number	Unassigned
Filing Date	May 22, 2001
First Named Inventor	Beste, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	AERX070DIV

Please change the Correspondence Address for the above-identified application to:



Customer Number

24353

Type Customer Number here

Place Customer  
Number Bar Code  
Label here

OR

<input checked="" type="checkbox"/> Firm or Individual Name	Paula A. Borden, BOZICEVIC, FIELD & FRANCIS				
Address	200 Middlefield Road, Suite 200				
Address					
City	Menlo Park	State	California	ZIP	94025
Country	USA				
Telephone	(650) 327-3400	Fax	(650) 327-3231		

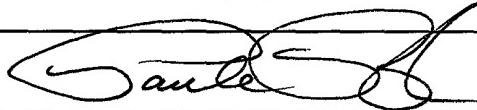
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I am the :

- Applicant/Inventor.
- Assignee of record of the entire interest.
- Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- Attorney or Agent of record.
- Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed Name      Paula A. Borden, Reg. No.: 42,344

Signature



Date      May 22, 2001

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 1 forms are submitted.

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